### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### EXPRESS MAIL NO. EV826262425US

Applicant : Liang Li, et al.

Confirmation No.1999

Application No.

: 10/565,751

Filed

: January 23, 2006

Title

: AN ENGINEERED AND ENERGIZED FUSION PROTEIN Fv-LDP-AE

WITH POTENT ANTITUMOR EFFICACY AND ANTIANGIOGENIC

ACTIVITY AND USE THEREOF (as amended)

Grp./Div. Examiner

: To be assigned: To be assigned

Docket No.

: 57000/C306

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Post Office Box 7068 Pasadena, CA 91109-7068 May 3, 2007

#### Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

## **Application No. 10/565,751**

The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/mac

Enclosures: PTO/SB/08A/B, w/references

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B	Attorney Docket Number	57000/C306
INFORMATION DISCLOSURE	Application Number	10/565,751
	Filing Date	January 23, 2006
STATEMENT BY APPLICANT	Applicant(s)	Liang Li, et al.
(use as many sheets as necessary)	Group Art Unit	N/A
,	Examiner Name	N/A

U.S. PATENT DOCUMENTS					
Cite	DOCUMENT NUMBER	Publication Date			
No. <sup>1</sup>	Number - Kind Code <sup>2</sup> (If Known)	MM-DD-YYYY	Name of Patentee		
		Cite <u>DOCUMENT NUMBER</u>	Cite <u>DOCUMENT NUMBER</u> Publication Date		

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Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENT Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
	CN 1284566A	02-21-2001		
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_		lo. <sup>1</sup> (If Known)	lo. <sup>1</sup> (If Known) MM-DD-YYYY	lo. (If Known) MM-DD-YYYY Applicant of Cited Document

OTHER DOCUMENTS				
EXAMINER Cite Include name of the INITIALS No. 1 cat		Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		LI, ET AL., "An Engineered and Assembled Fusion Protein of Antitumor Antibotic Lidamycin and scFv Directed against Type IV Collagenase." Acta Pharmaceutical Sinica, vol. 35, no. 7, July 2000, pages 488-491		
		TANG, ET AL., "Expression of Anti-Type IV Collaganase scFv Fragment and Inhibition of Tumor Cells Invasion." Chinese Journal of Cancer, vol. 20, no. 8, Aug 2001, pages 801-805		

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EXAMINER	DATE	
SIGNATURE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.